

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/796,280

03/10/2004

Michele Cargill

CL001510

**CONFIRMATION NO. 9662** 

**FORMALITIES LETTER** 

\*OC000000013043540\*

25748 CELERA GENOMICS CORP. ATTN: WAYNE MONTGOMERY, VICE PRES, INTEL PROPERTY 45 WEST GUDE DRIVE C2-4#20 ROCKVILLE, MD 20850

Date Mailed: 06/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 08/20/2004 HDEMESS1 00000060 500970 10796280

130.00 DA

770.00 DA 01 FC:1001 02 FC:1201 03 FC:1202 430.00 DA 72.00 DA

04 FC:1051

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$502 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1402 for a Large Entity

\$770 Statutory basic filing fee.

1

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$502
  - \$430 for 5 independent claims over 3.
  - \$72 for 4 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)

Under the Paperwork Reduction Act of 1995, no persons are require	red to r	espond (		t and Tr	Approved for use through 07/31/2006. OM rademark Office; U.S. DEPARTMENT OF ormation unless it displays a valid OMB co	COMMERCI	
TRADE TRANSMITTA	•			Co	omplete if Known		
FEE TRANSMITTA	L	<ul> <li>Application Number</li> </ul>			10/796,280		
for EV 2004			Date		March 10, 2004		
for FY 2004		First Named Inventor		entor	CARGILL, Michele		
Effective 10/01/2003. Patent fees are subject to annual revision.		Examiner Name					
Applicant claims small entity status. See 37 CFR 1.27		Art Unit					
TOTAL AMOUNT OF PAYMENT (\$) 1402		Attorney Docket No.			CL001510		
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None			ONAL FE				
Deposit Account:  Deposit Account  50-0970	Fee Cod	*	Fee Fee Code (\$)			Fee Paid	
Number Deposit Account CELERA GENOMICS	105° 105°		ľ	5 Surc	charge - late filing fee or oath charge - late provisional filing fee or er sheet	130	
Name The Director is authorized to: (check all that apply)  Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of fee(s)  Charge fee(s) indicated below, except for the filing fee	1804	2,520	1812 2,52 1804 92	0 Non- 0 For fi 20* Requ Exan	English specification Filing a request for ex parte reexamination uesting publication of SIR prior to miner action uesting publication of SIR after		
to the above-identified deposit account.	125			Exa	miner action		
FEE CALCULATION	125				ension for reply within first month ension for reply within second month	*	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1253		2253 47	75 Exte	ension for reply within third month ension for reply within fourth month		
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1259 140	5 2,010 1 330			ension for reply within fifth month		
1003 530 2003 265 Plant filing fee	140	2 330	2402 1	65 Filin	ng a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	140	3 290	2403 1	45 Req	uest for oral hearing		
1005 160 2005 80 Provisional filing fee	ŀ	1,510			tion to institute a public use proceeding		
SUBTOTAL (1) (\$) 770	145		2452		tion to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Extra Claims below Fee Paid		3 1,330 1 1,330 2 480	2501 6	65 Utili	ition to revive - unintentional ity issue fee (or reissue) sign issue fee		
Total Claims   24   -20** =   4	1503 1466				nt issue fee itions to the Commissioner		

2. EX	IKA	PWIIN L	EES	Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)	
			E	Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total C		24	-20**		1503	640	2503	320	Plant issue fee	
Claims	3	8	- 3**	= <u>[5</u> × <u>[86</u> = <u>[430</u>	1460	130	1460	130	Petitions to the Commissioner	
Munip	le Deper	ndent		L =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
	Entity				1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Code	(\$)	Fee F Code (	\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202	18	2202	9	Claims in excess of 20	1809	770	2809		Filing a submission after final rejection	
1201	86	2201	43	Independent claims in excess of 3					(37 ČFR 1.129(a))	
1203	290	2203	145	Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204	86	2204	43	** Reissue independent claims	l				examined (37 CFR 1.129(b))	
				over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	:
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
			01107	TOTAL (2) (\$) 502	Other	fee (sp	ecify)			
				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130						
""O!	numbei	r previousiv	v paid.	if greater: For Reissues, see above		•		_	300101AL(3) ((3) 100	

\*\*or number previously paid, if greater; For Reissues, see above SUBTOTAL (3) (\$) 130

SUBMITTED BY

(Complete (if applicable))

Registration No. (Altomev/Agent) 43,704

Telephone 240-453-3812

Signature Date August 19, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Moder the Paperwork Reduction Act of 1995, no r		PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number.				
TRANSMITTAL	Filing Date	10/796,280 March 10, 2004				
FORM	First Named Inventor	CARGILL, Michele				
(to be used for all correspondence after initial filing)	Art Unit					
	Examiner Name					
Total Number of Pages in This Submission	Attorney Docket Number	CL001510				
[ E	ENCLOSURES (Check all tha	t apply)				
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): Inventors Dec(2 pgs.); copy of Notice of Missing Parts				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name Justin D. Karjala, Reg. No.: 43,704  Signature Date August 19, 2004  CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name						
17ped of printed fighte	- 199					
Signature		Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.